Chola | Securities
Enter a better life

Form No.

Account Opening Form

INDIVIDUAL

☐ **NSDL** ☐ **CDSL**

"Please tick any one NSDL or CDSL above and fill Annexure K for NSDL DP account or fill Annexure 2.1 for CDSL DP Account"

Branch Use:

Client Name: ____

Branch code: __________________________ AP/BR Name: __________________________

RM Code: __________________________ RM Name: __________________________

MFRM Code: __________________________ MFRM Name: __________________________

Dealer Code: __________________________ Dealer Name: __________________________

☐ Online ☐ Offline ☐ Only Trading ☐ Trading & DP ☐ Only DP

HO Use:

	TRADING	DP
Client Code:	________________________	____________________________
AOF Verified by / date:	________________________	____________________________
Account opened by / date:	________________________	____________________________
Checked in system by / date:	________________________	____________________________

☐ PAN: ________________________

Regd. Office: **Cholamandalam Securities Limited**
"Chola Crest" ", C54-55 & Super B-4, Thiru-Vi-Ka Industrial Estate, Guindy, Chennai - 600032.
Tel.: 044 4090 7172 | Fax: 044 4090 6464 Toll-Free No.: 1800 102 4565 |Website: www.cholasecurities.com
CIN: L65993TN1978PLC007576

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole-time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRl's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state-owned corporations, important political party officials, etc.

B. Instructions related to nomination, are as below:(Applicable for Demat A/c)

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non - Individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee shall not be a trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a power of Attorney holder. Anon-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non - individuals including society, trust, body corporate partnership firm, Karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

INSTRUCTIONS / Check List

1. Additional documents in case of trading in derivatives segment – illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Account
In case of Salary income – Salary Slip, Copy of Form 16	Net Worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets	Self declaration with relevant supporting documents

**In respect of the other clients documents as per risk management policy of the stock broker need o be provided by the client from time to time.*

2. Copy of cancelled cheque leaf/ Pass book/ bank statement specifying name of the constituent, MICR code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name if the client.
4. For Individuals:
   a) Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/ sub -broker's office.

   b) In case of non-resident clients, employees at the stock broker's local office, overseas can do in -person' verification. Further, considering the infeasibility of carrying out 'in person' verification of the non- resident clients by the stocks broker's staff, attestation of KYC documents by Notary public, Court magistrate, Judge, Local Banker, Indian Embassy/ Consulate General in the country where the client resides may be permitted.

ACCOUNT OPENING KIT (Part -A)

INDEX OF DOCUMENTS

Member name : Cholamandalam Securities Limited
SEBI Reg. No. / date : INZ000168236 dt: 19/03/2018
Regd office address : "CHOLA CREST", C54 - 55 & Super B-4, Thiru Vi Ka Industrial Estate, Guindy, Chennai- 600 032
Correspondence address : "Tamarai Tech Park", North Zone, 2nd floor, Thiru-vi-ka Industrial Estate, Guindy, Chennai – 600032
Phone number : (044) 40047370 **Fax** : (044) 40047373 **Website** : www.cholasecurities.com

A. Compliance officer name : Mrs. Gayathri R
E-mail Id: complianceofficercsec@chola.murugappa.com
Contact No.: 044 - 4004 7226

B. Business Head name: Mr. Senthil Naidu N
E-mail Id: csechead@chola.murugappa.com
Contact No.: 044 - 40047370

Register on SCORES portal
Mandatory details for filling complaints on SCORES: Name: PAN, Address, Mobile Number, Email ID
Benefits: Effective communication Speedy redressal of the grievances
SCORES website https://scores.gov.in/scores/Welcome.html

C. Customer grievance E-Mail id: csecsupport@chola.murugappa.com
D. DP Grievance Email id: csecdpsupport@chola.murugappa.com

For any grievance/dispute please contact stock broker (name) at the above address. In case not satisfied with the response, please contact for NSF Ph• 022-26508190, e-mail: iansemnse.co.in for BSE Ph: 022-22721233/34 e-mail: is@bseindia.com

CENTRAL KYC REGISTRY I Know Your Customer (KYC) Application Form I Related Person

Important Instructions:

A) Fields marked with '*' are mandatory fields.
B) Tick ',' wherever applicable.
C) Please fill the date in DD-MM-YYYY format.
D) Please fill the form in English and in BLOCK letters.
E) KYC number of applicants is mandatory for update application.
F) List of State / U.T. code as per Indian Motor Vehicle Act, 1988 is available at the end.
G) List of two-character ISO 3166 country codes is available at the end.
H) Please read section wise detailed guidelines / instructions at the end.
I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only *(To be filled by financial institution)*

Application Type* ☐ New ☐ Update ☐ Delete

KYC Number ☐☐☐☐☐☐☐☐☐☐☐ *(Mandatory for KYC update and delete request)*

Account type* ☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (In non-face to face mode)

☐ 1 PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)				
Maiden Name				
Father/ Spouse Name				
Mother Name				

Date of Birth* ☐☐ - ☐☐ - ☐☐☐☐

PHOTO

Gender* ☐ M - Male ☐ F - Female ☐ T - Transgender

Marital Status* ☐ Married ☐ Un Married ☐ Others

Citizenship* ☐ IN-Indian ☐ Others (ISO 3166 Country Code ☐☐)

Residential Status* ☐ Resident Individual ☐ Non Resident Individual ☐ Foreign National ☐ Person of Indian origin

PAN* ☐☐☐☐☐☐☐☐☐☐ ☐ Form 60 furnished

(1) Signature — Signature / Thumb Impression

☐ 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSE IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if Section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence* ☐☐

Tax Identification Number or equivalent (if issued by jurisdiction) *

Place /City of Birth* ISO 3166 Country Code of Birth * ☐☐

☐ 3 & 4 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction C at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A-Passport Number
☐ B-Voter ID Card
☐ C-Driving Licence
☐ D-NREGA Job Card
☐ E-National Population Register letter
☐ F-Proof of Possession of Aadhaar

II ☐ E-KYC Authentication

III ☐ Offline verification of Aadhaar

IV ☐ Deemed Proof of Address – Document Type Code ☐☐
Address

ADDRESS DETAILS*

☐ 4.1 CURRENT / PERMANENT /OVERSEAS ADDRESS DETAILS (Please refer instruction D at the end)

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Line 1*
Line 2
Line 3 City/Town/Village*
District* Pin/ Post Code* State / U.T Code* ☐☐ ISO 3166 Country code* ☐☐

☐ 4.1 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please refer instruction E at the end)

☐ Same as Current / Permanent /Overseas Address details (In case of multiple correspondence / local addresses, please fill **'Annexure A1'**)

Line 1*
Line 2
Line 3 City/Town/Village*
District* Pin/ Post Code* State / U.T Code* ☐☐ ISO 3166 Country code* ☐☐

☐ **4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSE*** **(Applicable if section 2 is ticked)**

☐ Same as Current / Permanent /Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City/Town/Village*

District* ZIP/ Post Code* State / U.T Code* ISO 3166 Country code*

☐ **5. CONTACT DETAILS (All communication will be sent on provided mobile no. / Email-ID) (Please refer instruction D at the end)**

Tel. (off) - Tel. (Res) -

Mobile - Fax -

Email ID

☐ **6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (Please refer instruction G at the end)**

Please download the Annexure B1 from our Website WWW.Cholasecurities.com'' and submit the same.

☐ **7. Remarks (If any)**

8. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under−take to inform you of any changes therein, immediately. In case any of the above information is found to be falseor untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I havea business relationship for KYC purposes only.

In case non-resident account, I/We also declare that, I/We have complied and will continue to comply with FEMA regulation from time to time.

(2) ☛

Date: - - Place:

Signature/Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY

Date - -

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

INSTITUTION DETAILS

Name	Cholamandalam Securities Limited
Code	IN1764

[Signature]

Second Holder KYC Form

CENTRAL KYC REGISTRY I Know Your Customer (KYC) Application Form I Related Person

Important Instructions:

J) Fields marked with '*' are mandatory fields.
K) Tick ',' wherever applicable.
L) Please fill the date in DD-MM-YYYY format.
M) Please fill the form in English and in BLOCK letters.
N) KYC number of applicants is mandatory for update application.
O) List of State / U.T. code as per Indian Motor Vehicle Act, 1988 is available at the end.
P) List of two-character ISO 3166 country codes is available at the end.
Q) Please read section wise detailed guidelines / instructions at the end.
R) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only Application Type* □ New □ Update □ Delete

(To be filled by financial institution) KYC Number ☐☐☐☐☐☐☐☐☐☐☐ *(Mandatory for KYC update and delete request)*

Account type* □ Normal □ Minor □ Aadhaar OTP based E-KYC (In non-face to face mode)

☐ 1 PERSONAL DETAILS (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)				
Maiden Name				
Father/ Spouse Name				
Mother Name				

Date of Birth* ☐☐ - ☐☐ - ☐☐☐☐

PHOTO

Gender* □ M - Male □ F - Female □ T - Transgender

Marital Status* □ Married □ Un Married □ Others

Citizenship* □ IN-Indian □ Others (ISO 3166 Country Code ☐☐)

Residential Status* □ Resident Individual □ Non Resident Individual □ Foreign National □ Person of Indian origin

PAN* ☐☐☐☐☐☐☐☐☐☐ ☐ Form 60 furnished

Signature

Signature / Thumb Impression

☐ 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSE IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if Section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence* ☐☐

Tax Identification Number or equivalent (if issued by jurisdiction) *

Place /City of Birth* ISO 3166 Country Code of Birth * ☐☐

☐ 3 & 4 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction C at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

□ A-Passport Number
□ B-Voter ID Card
□ C-Driving Licence
□ D-NREGA Job Card
□ E-National Population Register letter
□ F-Proof of Possession of Aadhaar

II □ E-KYC Authentication

III □ Offline verification of Aadhaar

IV □ Deemed Proof of Address – Document Type Code ☐☐

Address

ADDRESS DETAILS*

☐ 4.1 CURRENT / PERMANENT /OVERSEAS ADDRESS DETAILS (Please refer instruction D at the end)

Address Type* ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Line 1*
Line 2
Line 3 City/Town/Village*
District* Pin/ Post Code* State / U.T Code* ☐☐ ISO 3166 Country code* ☐☐

☐ 4.1 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please refer instruction E at the end)

☐ Same as Current / Permanent /Overseas Address details (In case of multiple correspondence / local addresses, please fill **'Annexure A1'**)

Line 1*
Line 2
Line 3 City/Town/Village*
District* Pin/ Post Code* State / U.T Code* ☐☐ ISO 3166 Country code* ☐☐

Second Holder KYC Form

☐ 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSE* **(Applicable if section 2 is ticked)**

☐ Same as Current / Permanent /Overseas Address details Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City/Town/Village*

District* ZIP/ Post Code* State / U.T Code* ISO 3166 Country code*

☐ 5. CONTACT DETAILS (All communication will be sent on provided mobile no. / Email-ID) (Please refer instruction D at the end)

Tel. (off) - Tel. (Res) -

Mobile - Fax -

Email ID

☐ 6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill 'Annexure B1') (Please refer instruction G at the end)

Please download the Annexure B1 from our Website WWW.Cholasecurities.com'' and submit the same.

☐ 7. Remarks (If any)

9. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under−take to inform you of any changes therein, immediately. In case any of the above information is found to be falseor untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digi locker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I havea business relationship for KYC purposes only.

In case non-resident account, I/We also declare that, I/We have complied and will continue to comply with FEMA regulation from time to time.

Date: - - Place: ☛

Signature/Thumb Impression of Applicant

9. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY	
Date	- -
Emp. Name	
Emp. Code	
Emp. Designation	
Emp. Branch	

[Employee Signature]

INSTITUTION DETAILS	
Name	Cholamandalam Securities Limited
Code	IN1764

[Signature]

MANDATORY DOCUMENTS

KYC -B

BANK ACCOUNT(S) DETAILS

No.	Bank Name, Branch Add & Tel. No	MICR No.	A/c Type	Account No.	IFSC Code
1					
2					

*Please provide Cancelled Cheque leaf for MICR & IFSC Code

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the Bank Statement having name and address of the BO

(iii) Photocopy of the Passbook having name and address of the BO, (or)

(iv) Letter from the Bank.

In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

GUARDIAN DETAILS (In case the Sole Holder is a Minor)

Name (Mr./Ms.)

Relationship (if any) Date of Birth *(of Minor)*

Address

Pin code

City/State Pan No

Telephone No. Fax E-mail

IN CASE OF NRIs

Foreign Address

City / State Pin Code

RBI Reference No RBI Approval Date

OTHER DETAILS

1.**Gross Annual Income Details** (Please Specify) Income range per annum: ☐ Below ₹ 1 lac ☐ ₹ 1-5 lac ☐ ₹ 5-10 lac ☐ ₹ 10 -25 lac ☐ More than ₹ 25L

OR

Net-worth (Net worth should not be older than 1 year Amount ₹ ________________________ **as on (date)** _____/_______/_____________

2.Occupation (Please tick any one and give brief details):

☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired

☐ House Wife ☐ Student ☐ Others (Please specify____

☐ Company / Business name:

Designation:

3. Please tick, if applicable,

☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

4. Any other information: ____

DEPOSITORY ACCOUNT(S) DETAILS

Sr. No.	Depository Participant Name	DP ID No.	DP Account No.	Depository Name
1				NSDL/CDSL
2				NSDL/CDSL
	Shares bought by you will be transferred to your DP Ale stated by you at Sr. No. 1			

TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. strike off the segment not chosen by you

All Exchanges	NSE& BSE			NSE
All Segment	Cash & Mutual Funds	*Derivatives	*Currency Derivatives	Lending & Borro wing
(3)	(4)	(5)	(6)	(7)

If you do not wish to trade in any of segments/ Mutual Fund, please mention here ....

*I / we hereby confirm the rational for investing in Currency Segment is for Business / Hedging / Arbitrage: ....

*Income proof submitted to trade in derivatives (F&O/Currency) segments ....

*I/we understand that trading in Derivatives (Future & Options) is risky, and may result in loss of capital.

*I/we confirm I have gone through and understood the Risk Disclosure documents explaining this

PAST ACTIONS

Details of any action / proceedings initiated pending taken by SEBI Stock exchange? any other authority against the applicant / constituent or it: Partners? promoters whole time directors authorized persons in charge of dealing in securities during the last 3 years.

DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Name | BSE SEBI Reg. No.

Regd. Off. | NSE SEBI Reg. No.

Address | MCX-SX SEBI Reg.No

Tel. No. | Fax No. | Remisier /AP

Website | Remisier /AP

Whether dealing with any other stock broker / sub - broker (if case dealing with multiple stock brokers / sub - brokers, provided details of

Name of Stock Broker | Client Code

Name of Sub-Broker if any | Exchange

Details of disputes/dues pending from/to such stock broker/sub- broker:

ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify): ☐ ECN ☐ Physical

Specify your Email id, If applicable:

Whether you wish to avail of the facility of internet trading/wireless technology (Please Specify ☐ Yes ☐ No

Number of years of investment/trading Experience ☐ Years

Any other information

Introducer Details (Optional)

Name

Add. / Tel.

Signature

Status of the Introducer: Sub Broker / Remisier / Authorized Person / Existing Client / Other please specify

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / We undertake to inform you of any changes therein, immediately in case any of the above information is found to be false or true or misleading or misrepresenting, I am / we are aware that I / we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. If/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, www.cholasecurities.com
4. I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me I us or suppression of any material information will render my account liable for termination and suitable action.

Name

Place | Date D D M M Y Y Y Y

(8) Authorized Signatory | Authorized Signatory | Authorized Signatory

MANDATORY DOCUMENTS

FOR OFFICE USE ONLY

UCC Code allotted to the Client:

☐ **Documents verified with Originals /** ☐ **In person 'Verification done by and Client Interviewed /** ☐ **Approved by**

Employee Name

Employee Signature

Designation

Employee Code

Date D D M M Y Y Y Y D D M M Y Y Y Y

Place of Verification Area of Verification

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligation's' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/WE undertake that any change in the 'Policy and Procedures", teet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations" and ROD would be made available on my/ our website, if any, for the information of the clients.

Signature of the Authorised Signatory

Seal / Stamp of the stock broker

Date D D M M Y Y Y Y

TARIFF SHEET FOR BROKING SERVICES

SCHEDULE OF CHARGES

Details of Brokerage Charges*	Agreed Rates (in%)	Minimum Brokerage (in paisa)
Equity- intraday (in %)		
Equity- Delivery (in %)		
Derivatives - Equity Futures (in %)		0.02 Paisa
Derivatives - Equity Options (in Rs.)	Per Lot	N/A
Currency - Future (in Rs.)	Per Lot	N/A
Currency - Option (in Rs.)	Per Lot	N/A

*Subject to a maximum of 2.5% of the total transaction value as per SEBI Norms

Terms & Conditions:

1. Brokerage rates indicated above are exclusive of all statutory and exchange related charges
2. Derivatives Clearing Charges: Futures - 0.00015% and Options - 0.003%
3. GST at applicable rates will be levied on Brokerage, Transaction Charges, Clearing Charges, KRA, Account opening Charges, Beneficiary, Inter-settlement Charges and document delivery charges
4. F&O penalty would be charged as levied by the exchange
5. Stamp duty charges would vary from state to state
6. Delayed payment charges up to 24% per annum will be levied on bills outstanding after due date
7. Beneficiary Charges (On transfer of shares from dues beneficiary for sale)- Up to Rs.30 or 0.04% per ISIN whichever is higher
8. Inter settlement Charges (On transfer of shares from one settlement to another)- Up to Rs.30 or 0.04% per ISIN whichever is higher
9. Cheque bounce charges would be levied at Rs.500/- per instance
10. Additional services (if any) availed by clients would be charged separately
11. If the total brokerage as per agreed rate in the tariff sheet above is less than Rs.25/- per order, then minimum brokerage of Rs.25/- or 2.5% (exclusive of GST and statutory levies), whichever is less will be charged per order (for Both Buy & Sell)
12. Lending / Borrowing fee will be charged at a maximum of 20 % on lending price plus exchange transaction charges at actuals
13. Stamp Duty I SEBI turnover fees & other statuary charges will be levied as and when applicable as per the exchange guidelines
14. Dp Transaction charges for lending transaction will be as per DP tariff
15. Account opening charges would be charged at Rs. 500/- for resident account, Rs.1000/- for NRI and Non individual Accounts
16. Modification charges would be charged at Rs. 25/-per request
17. The above charges will not be refunded. The Company in its sole discretion may waive charges either in part or in full.

The Company reserves the right to revise brokerage structure & other statutory charges as applicable under respective laws by display of the same on website/direct communication.

The schedule of charges is also available on: www.cholasecurities.com

(9) ☛	☛	☛
Client's Signature	Signature of Sub-broker/ RM Authorised Person / Introducer	for Cholamandalam Securities Limited

Annexure-A
Most Important Terms and Conditions (MITC)
(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favour of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Dem at Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your de mat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SE BI/stock exchanges for participation in such schemes.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures	(10) ☛	☛	☛

Disclosure of minimum mandatory terms and conditions to clients pursuant to SEBI Research Analyst ('RA') Regulations

1. **Availing the research services:** By accepting delivery of the research service, the client confirms that he/she has elected to subscribe the research service of the RA at his/her sole discretion. RA confirms that research services shall be rendered in accordance with the applicable provisions of the RA Regulations.

2. **Obligations on RA:** RA and client shall be bound by SEBI Act and all the applicable rules and regulations of SEBI, including the RA Regulations and relevant notifications of Government, as may be in force, from time to time.

3. **Client Information and KYC:** The client shall furnish all such details in full as may be required by the RA in its standard form with supporting details, if required, as may be made mandatory by RAASB/SEBI from time to time. RA shall collect, store, upload and check KYC records of the clients with KYC Registration Agency (KRA) as specified by SEBI from time to time.

4. **Standard Terms of Service:** The consent of client shall be taken on the following understanding:

"I / We have read and understood the terms and conditions applicable to a research analyst as defined under regulation 2(1)(u) of the SEBI (Research Analyst) Regulations, 2014, including the fee structure.

I/We are subscribing to the research services for our own benefits and consumption, and any reliance placed on the research report provided by research analyst shall be as per our own judgement and assessment of the conclusions contained in the research report.

I/We understand that –

I. Any investment made based on the recommendations in the research report are subject to market risk.
II. Recommendations in the research report do not provide any assurance of returns.
III. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report."

Declaration of the RA that:

a. It is duly registered with SEBI as an RA pursuant to the SEBI (Research Analysts) Regulations, 2014 and its registration details are: (registration number, registration date);
b. It has registration and qualifications required to render the services contemplated under the RA Regulations, and the same are valid and subsisting;
c. Research analyst services provided by it do not conflict with or violate any provision of law, rule or regulation, contract, or other instrument to which it is a party or to which any of its property is or may be subject;
d. The maximum fee that may be charged by RA is ".:1.51 lakhs per annum per family of client.
e. The recommendations provided by RA do not provide any assurance of returns.

Additionally, if RA is an individual, declaration that:

I. It is not engaged in any additional professional or business activities, on a whole-time basis or in an executive capacity, which interfere with/influence or have the potential to interfere with/influence the independence of research report and/or recommendations contained therein.

5. **Consideration and mode of payment:** The client shall duly pay to RA, the agreed fees for the services that RA renders to the client and statutory charges, as applicable. Such fees and statutory charges shall be payable through the specified manner and mode(s)/ mechanism(s).

6. **Risk factors:** (A statement covering the standard risks associated with investment in securities to be added under this clause by the RA)

7. **Conflict of interest:** The RA shall adhere to the applicable regulations/ circulars/ directions specified by SEBI from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. (A statement covering the mandatory disclosures to be added under this clause by the RA.)

8. **Termination of service and refund of fees:** Disclosure that the RA may suspend or terminate rendering of research services to client on account of suspension/ cancellation of registration of RA by SEBI and shall refund the residual amount to the client. In case of suspension of certificate of registration of the RA for more than 60 (sixty) days or cancellation of the RA registration, RA shall refund the fees, on a pro rata basis for the period from the effective date of cancellation/ suspension to end of the subscription period.

9. **Grievance redressal and dispute resolution:** Any grievance related to (i) nonreceipt of research report or (ii) missing pages or inability to download the entire report, or (iii) any other deficiency in the research services provided by RA, shall be escalated promptly by the client to the person/employee designated by RA, in this behalf (RA to provide name and e-mail ID of the designated person/employee). The RA shall be responsible to resolve grievances within 7 (seven) business working days or such timelines as may be specified by SEBI under the RA Regulations. RA shall redress grievances of the client in a timely and transparent manner. Any dispute between the RA and his client may be resolved through arbitration or through any other modes or mechanism as specified by SEBI from time to time.

10. **Additional clauses:** All additional voluntary clauses added by the RA should not be in contravention with rules/ regulations/ circulars of SEBI. Any changes in such voluntary clauses/document(s) shall be preceded by a notice of 15 days.

11. **Mandatory notice:** Clients shall be requested to go through Do's and Don'ts while dealing with RA as specified in SEBI master circular no. SEBI/HO/MIRSD-POD-1/P/CIR/2024/49 dated May 21, 2024 or as may be specified by SEBI from time to time.

12. **Optional Centralised Fee Collection Mechanism:** RA Shall provide the guidance to their clients on an optional 'Centralised Fee Collection Mechanism for IA and RA' (CeFCoM) available to them for payment of fees to RA.

13. **Use of Artificial Intelligence ('Al') tools in RA services:** The extent of use of artificial intelligence tools shall be disclosed as a part of the research reports published.

Most Important Terms and Conditions (MITC)

1. These terms and conditions, and consent thereon are for the research services provided by the Research Analyst (RA) and RA cannot execute/carry out any trade (purchase/sell transaction) on behalf of, the client. Thus, the clients are advised not to permit RA to execute any trade on their behalf.

2. The fee charged by RA to the client will be subject to the maximum of amount prescribed by SEBI/ Research Analyst Administration and Supervisory Body (RAASB) from time to time (applicable only for Individual and HUF Clients).

Note: 2.1. The current fee limit is Rs 1,51,000/- per annum per family of client for all research services of the RA.
2.2. The fee limit does not include statutory charges.
2.3. The fee limits do not apply to a non-individual client / accredited investor.

3. RA may charge fees in advance if agreed by the client. Such advance shall not exceed the period stipulated by SEBI; presently it is one year. In case of pre-mature termination of the RA services by either the client or the RA, the client shall be entitled to seek refund of proportionate fees only for unexpired period.

4. Fees to RA may be paid by the client through any of the specified modes like cheque, online bank transfer, UPI, etc. Cash payment is not allowed. Optionally the client can make payments through Centralized Fee Collection Mechanism (CeFCoM) managed by BSE Limited (i.e. currently recognized RAASB).

5. The RA is required to abide by the applicable regulations/ circulars/ directions specified by SEBI and RAASB from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. The RA will endeavour to promptly inform the client of any conflict of interest that may affect the services being rendered to the client.

6. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. No scheme of this nature shall be offered to the client by the RA.

7. The RA cannot guarantee returns, profits, accuracy, or risk-free investments from the use of the RA's research services. All opinions, projections, estimates of the RA are based on the analysis of available data under certain assumptions as of the date of preparation/publication of research report.

8. Any investment made based on recommendations in research reports are subject to market risks, and recommendations do not provide any assurance of returns. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report. Any reliance placed on the research report provided by the RA shall be as per the client's own judgement and assessment of the conclusions contained in the research report.

9. The SEBI registration, Enlistment with RAASB, and NISM certification do not guarantee the performance of the RA or assure any returns to the client.

11. For any grievances,

MANDATORY DOCUMENTS

Step 1: the client should first contact the RA using the details on its website or following contact details:

<table>
<tr><th>Details of designation</th><th>Contact Person Name</th><th>Address where the physical address location</th><th>Contact No.</th><th>Email-ID</th><th>Working hours when complainant can call</th></tr>
<tr><td>Customer Care</td><td>Pradeebha Ponnusamy</td><td rowspan="4">Tamarai Tech Park, North Block 2nd Floor, SP Plot No.16-19 & 20-A, Thiru-Vi-Ka Industrial Estate, Inner Ring Road, Guindy, Chennai - 600032</td><td>1800-203-3460</td><td>csecsupport@chola.murugappa.com</td><td rowspan="2">Monday to Friday
8.30 AM to 6.00 PM</td></tr>
<tr><td>Head of Customer Care</td><td>Prem Kumar R</td><td>044-40047228</td><td>premkumarram@chola.murugappa.com</td></tr>
<tr><td>Compliance Officer</td><td>Mrs. Gayathri R</td><td>044-40047226</td><td>complianceofficercsec@chola.murugappa.com</td><td rowspan="3">Monday to Friday
9.30 AM to 6.00 PM</td></tr>
<tr><td>CEO</td><td>N Senthilkumar Naidu</td><td>044-40047215</td><td>csechead@chola.murugappa.com</td></tr>
<tr><td>Principal Officer</td><td>Dharmesh Kant *</td><td>Naman Corporate Link, 4th Floor, G- Block, Bandra Kurla Complex, Mumbai - 400051</td><td>022-40253300</td><td>csecresearch@chola.murugappa.com</td></tr>
</table>

Step2: If the resolution is unsatisfactory, the client can also lodge grievances through SEBI's SCORES platform at www.cores.sebi.gov.ln

Step 3: The client may also consider the Online Dispute Resolution (ODR) through the Smart ODR portal at https://smartodr.in

11. Clients are required to keep contact details, including email id and mobile number/s updated with the RA at all times.

12. The RA shall never ask for the client's login credentials and OTPs for the client's Trading Account Demat Account and Bank Account. Never share such information with anyone Including RA.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures	(10a) ☛	☛	☛

VOLUNTARY DOCUMENTS

RUNNING ACCOUNT AUTHORISATION

To
M/s. Cholamandalam Securities Limited

Date ....

Dear Sir/ Madam,

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.

2. I/We request you to settle my fund ☐ Once in every calendar Quarter or ☐ Once in a calendar Month. In case, the client has not filled the settlement period the funds will be settled on Quarterly basis or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.

3. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations is calculated in the manner specified by the exchanges.

4. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7working days from the date of receipt of funds or statement of account or statement related to it, as the case may be at your registered office. After that I/We shall have no right to dispute the transaction, funds ever and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds.

5. I/We confirm you that I can revoke the above mentioned authority anytime in writing to you.

Thanking you
Yours faithfully,

	First/Sole Holder
Name	
Signatures	(11) ☛

Client Name: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

DECLARATION FOR MOBILE NUMBER AND EMAIL ID

Date:

To,
Cholamandalam Securities Limited
Thamarai Tech Park, II Floor, North Zone
Guindy, Chennai – 600032.

I /We ____ hereby declare that being a

Email ID: ______________ ______________	☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children Mr/Mrs.: ______________________________________
Mobile: ______________	☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children Mr/Mrs.: ______________________________________

linked to his/her trading account ☐☐☐☐☐☐☐☐☐☐ and DP Account ☐☐☐☐☐☐☐☐

I/We hereby agree to indemnify you against any transactional & financial disputes arising consequent to using the same mobile number and email id.
I/we undertake to inform you of any changes therein, immediately in writing to you.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures	(12) ☛	☛	☛

Note: 'Family' for this purpose would mean self, Spouse, dependent children and dependent parents only can use the same mobile/e mail id for their family accounts.

Undertaking for Pledgee and Pledgor - Annexure A

- The pledge undertakes to provide reasonable notice to the pledge and comply with the requirement of Sections 176 and 177 of the Indian Contract Act,1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act,1872, the Depositories Act, SEBI Regulations, Circulars, and byelaws in force from time to time, as may be applicable.

	Pledgee	**Pledger**
BOID		
Sole /First Holder Signature	(13) ☛	**Sole /First Holder Signature**
Second Holder Signature	☛	
Third Holder Signature	☛	

VOLUNTARY DOCUMENTS

VOLUNTARY AUTHORISATION LETTER

To

M/S Cholamandalam Securities Limited Date ....

Dear Sir/ Madam,

I/We | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | have opened a trading account with Cholamandalam Securities Ltd (herein after referred to as CSEC) and further give my/our consent to CSEC for availing/no availing of the below mentioned Voluntary Services provided by CSEC as per the terms and conditions & declaration annexed herewith.

1. I/We wish to opt for Trading through Mobile Apps - ☐ Yes / ☐ No
2. I/We wish to opt for Internet Trading/wireless technology - ☐ Yes / ☐ No
3. I/We wish to opt for Electronic Contract Note (ECN) - ☐ Yes / ☐ No
4. I/We wish opt for Documents Via Electronic Mode (DEM) - ☐ Yes / ☐ No
5. I/We do not wish to receive Trade confirmation Over Phone - ☐ Yes / ☐ No
6. I/We wish to opt for SMS services provided by CSEC - ☐ Yes / ☐ No
7. I/We give consent for sharing my/our trading a/c information - ☐ Yes / ☐ No
8. I/We give consent for trade in Mutual Fund Service System (MFSS) & BSE STAR MF facility ☐ Yes / ☐ No
9. I/we do hereby declare that I have not been involved in any terrorist activity and. have not been declared as defaulter - ☐ Yes / ☐ No

I/WE CONFIRM HAVING RECEIVED THE FOLLOWING DOCUMENTS BY WAY OF A SEPARATE BOOKLET (Part B of account opening form) Through ☐ Electronic Mode ☐ Physical Mode

a. Rights & Obligations
b. Rights & Obligations of the Beneficial Owner and Depository Participant
c. Rights & Obligation of SLB segment
d. Risk Disclosure Document
e. Guidance Note
f. Policies and Procedures
g. Additional Terms & Conditions of Services
h. Terms and Conditions-Cum-Registration/ Modification Form for receiving SMS alerts from CDSL
I. Investor charter for share brokers and depositories
j. Facility for voluntary freezing/ blocking of online access of the Trading account
k. GTD' (Good till Date) Order Policy

I / We declare and acknowledge that I/we have received and read the Rights and Obligations document policies and procedures & standard documents and the terms and conditions for availing above stated voluntary services and agree to abide by and be bound by the same and by the By e Laws as are in force from time to time. I/ We declare that the particulars given by me/ us in the annexure are true to the best of my knowledge as on the date of making this application. I/ We agree and undertake to intimate the CSEC any changes(s) in the details/ Particulars mentioned by me I us in the annexure. I / We further agree that any false/ misleading information given by me/ us or suppression of any material information will render my account liable for termination and suitable action.

I/We further declare and acknowledge that I have read and understood the policies and procedures & standard documents; I understand that is also display ed for Information on designated website of CSEC and shall abide by the same.

I/We further declare I/we have read, understood and agree to the terms & conditions which forms part of **annexure to this letter.**

Yours truly,

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures	(14) 🖎	🖎	🖎

ANNEXURE

1 & 2 I/We declare that I/we have read, understood and agree to the terms & conditions given in the Rights and Obligations of Stock Brokers '-Intemet & Wireless Technology Based Trading Facility Provided By Stock Brokers To Client.

3 & 4 Contract note and other documents through e-mail & information through SMS:

*I/We confirm that my/our registered Mobile No is: ___________________________and E-Mail Id is ___________________ __________________________________.I / We acknowledge and agree that non-receipt of bounced mail notification or SMS by CSEC shall amount to delivery of the ECN, DEM, and SMS services at my/ our registered contact details.

I /We affirm that CSEC shall not be responsible for the non-receipt of the ECN, DEM, SMS services due to any change in my / our email address and mobile number and if the same is not intimated by me/us to CSEC and that CSEC shall not take cognizance of out-of-office / out-of-station auto replies and I/ We shall be deemed to have received such ECN, DEM, SMS & Other voluntary services stated above.

I / We hereby affirm that CSEC do not / does not require and shall not send hard copies of the Contract Notes & Other Statements at my / our designated address. I/We hereby agree that any change in e mail ID revocation ECN, DEM & SMS request shall be communicated by me/us through a physical letter or through Registered E-Mail to CSEC.

I /We undertake that it shall be my / our sole responsibility to verify the contents of the ECN, DEM & SMS services. If there is any discrepancy, I /We shall inform CSEC of the same within 48 hours of the receipt of the ECN, DEM & SMS by replying to the email:csecsupport@chola.murugappa.com

I /We confirm and agree that I /We will acknowledge the ECN, DEM within 48 hours of the receipt. In case I /We do not / does not acknowledge the ECN, DEM by sending a reply mail within the stipulated time provided by CSEC, the same shall be deemed to have been acknowledged. I/We confirm that I can revoke above stated facility any time in writing to you or request through registered E-Mail.

5. Trade confirmation:

I hereby confirm that I do not wish to receive trade confirmations from your office through phone with regard to all my transactions put through Cholamandalam Securities Limited with immediate effect. I further confirm that sending physical contract notes/electronic contract notes to my registered address/e-mail address would suffice.

The above instruction shall be valid until further written advice from the undersigned.

6 & 7. Consent letter for sharing information and SMS alerts

a. I/We hereby agree and consent for the disclosure by the Member to any person or entity including but not limited to any independent third parties or any entities of the Member Group, whether within or outside India, of any information and data relating to me/us or relating to my/our trading account with Member for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed, by me/us in future.

b. I/We agree and permit the Member to provide intimations and communications, as its own discretion, including but not limited to outstanding debit recovery intimations, trade confirmations, margin and maintenance calls, (collectively "alerts") through the SMS alert facility on the mobile phone number which belongs to me/us as provided in the Account Opening Form or communicated otherwise. The information sent as an alert on the mobile phone number shall be deemed to have been received by me/us and the Member shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert. The Member will make best efforts to provide the service and I/We would not hold the Member liable for non -availability o the service in any manner whatsoever.

c. I/we confirm you that I can revoke the above mentioned consent anytime in writing to you.

8. Mutual fund service system (MFSS) facility/ BSE Star MF Facility:

I/We ____ am/are registered as your client with Client Code No. ________________________ and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market segment of National Stock Exchange of India Ltd & Bombay Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS & BSE STAR MF of the Exchange.

For the purpose of availing the MFSS facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of MFSS & BSE STAR MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Circular dated December 2, 2009 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MFSS & BSE STAR MF.

9. Client Defaulter Declaration

I/we do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/Various Exchanges/ Regulatory bodies/CIBIL(Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/statement is true and correct.

TERMS AND CONDITIONS FOR AVAILING MARGIN TRADING FACILITY (MTF)

Trading code: ___________ Demat Account No.: ___________ DP ID: ___________

Cholamandalam Securities Ltd (CSEC) is eligible to provide Margin Trading Facility (MTF) in accordance with SEBI & Exchange Guidelines as specified from time to time.

The terms and conditions prescribed hereunder form part of account opening form, shall be read in conjunction with the rights and obligations as prescribed under SEBI circular no. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011 and the Rules, Regulations, Bye laws, Rights and Obligation, Guidelines, circulars issued by SEBI and Exchanges from time to time.

A) The Client undertakes, authorizes, confirms and agrees to/that:

1. Avail MTF in accordance with the terms and conditions of MTF offered by Cholamandalam Securities Limited (CSEC).
2. CSEC at all times shall have the liberty to exercise its right in its sole discretion to determine the extent to which the MTF exposure to be made to the Client.
3. Pay interest at the rate agreed at the time of opening the client's account and/or modified and communicated from time to time by CSEC. Interest on the Margin Trading Facility (MTF) will be charged on the Gross funded value adjusting the upfront cash collateral provided by the client for pay in obligation of the funded position.
4. If the transaction is under MTF, there will not be any further confirmation that it is margin trading transaction other than contract note.
5. CSEC shall not be bound to grant MTF to the Client (which decision shall be at the sole and exclusive discretion of CSEC) and CSEC shall not be required to provide any reasons thereof nor shall CSEC be liable for any damages (whether direct or consequential or whether financial or non-financial) to the Client by reason of CSEC refusal to grant MTF to the Client.
6. Client includes Individual, Company, Partnership firm, Trust, Hindu Undivided Family, Association of Person and Body of Individuals etc.
7. The dues, wherever mentioned herein, includes but not limited to outstanding balances, interest, statutory taxes, duties, charges, penalties etc. in respect of MTF availed by the Client.
8. The terms / conditions / Obligations of the Client as amended from time to time shall be irrevocable and shall not be revoked by the death/dissolution/ winding up of the Client.
9. Accept all types of communications including order / trade confirmation, revision in margin, margin calls / decision to liquidate the position / security / collateral, Margin statements, margin policies on haircuts / VAR margin, Risk management policies, Rights & obligations, allowable exposure, specific stock exposure etc. via E-mail or SMS from the email id / mobile.number of the Client registered with CSEC or by way of logging-in on website of CSEC in a secured manner or physical mode, based on client's preference.
10. The MTF shall be provided only in respect of Shares permitted by the SEBI/ Exchanges / CSEC from time to time.
11. Equity Shares that are classified as 'Group I Security" by SEBI only shall be eligible for MTF. CSEC, at its discretion, may not provide funding under MTF to certain equity shares though classified to be "Group I Security" by SEBI. Equity shares shortlisted by CSEC for funding (Approved List) shall be as per CSEC Policy and approved list will be provided in case on request of Client.
12. CSEC to retain and / or pledge the securities and its corporate benefits, if any, with CSEC utilized for availing MTF till the amount due in respect of the said transaction including the dues to CSEC is paid in full by the Client.
13. CSEC to hold and / or to appropriate the credit lying in the Client account and/or any unutilized/ unpledged shares/ securities lying in demat account along with all other demat accounts / Mutual Funds / IPO account of the Client towards the repayment of the outstanding dues thereof under MTF.
14. In case of shortfall, CSEC shall treat the CSEC approved unencumbered securities available in demat account/s linked to the trading account of the Client as margin towards the MTF availed.
15. Ensure required margin is maintained for MTF at all point of time as specified by CSEC from time to time.
16. CSEC at its sole and absolute discretion may increase / revise the limit of initial margin and maintenance margin, minimum transaction amount from time to time, subject to the availability of adequate collaterals after applicable haircuts or any other SEBI / Exchange / CSEC requirements in this respect. No further exposure shall be granted on the increased value of CSEC funded stocks. The Client shall abide by such revision, and where there is an upward revision of such margin amount, the client agrees to make up the revised margin not later than 5 working days from the day of margin call, failing which CSEC may exercise its discretion / right to liquidate the security / collateral and / or close out the position.
17. CSEC shall make necessary margin calls, in case of shortfall and funded funds stocks not pledged.
18. Make good deficient margin / margin call by placing the further margin within the specified timeline mentioned above, failing which CSEC may exercise its discretion / right to liquidate the security / collateral and / or close out the position immediately depending upon the market conditions and / or the volatility.
19. CSEC may, in its sole discretion, determine the time of sell / Securities to be liquidated.
20. All losses and financial charges on account of such liquidation/closing out shall be charged to and borne by the client.
21. CSEC may immediately without any notice liquidate the security / collateral and or close out the position in the happening of the following events:

- if any instrument for payment of Margin Money / Monies is / are dishonoured;
- failure to pay interest at the rate agreed under Clause (A) (4) of this Terms and Conditions and as communicated by CSEC from time to time.

- if the Client violates/breach any provision of this Arrangement or provides any incorrect or misleading information;
- if the Client has voluntarily or compulsorily become the subject of any proceedings under any bankruptcy or insolvency law or winding up or liquidation proceedings or has a receiver or liquidator appointed in respect of itself or its assets or makes an application or refers itself to any authority for being declared as a "sick company", relief undertaking, bankrupt or insolvent or seeking financial reconstruction or any other like scheme (by whatever name called) or is dissolved or there is a change in the constitution whether on account of the admission of a new partner or the retirement, death or insolvency of any partner or otherwise; the death, lunacy or other disability of the Client;
- if there is reasonable apprehension that the Client is unable to pay its outstanding dues or has admitted its inability to pay its dues, as they become payable;
- if the Client is convicted under any criminal law in force;
- if any Asset or any Security is seized or made subject to any distress, execution, attachment, injunction or other process order or proceeding or is detained or taken into custody for any reason;
- default under any other arrangement or facility with any Stock Broker is made by the Client.
- there exists any other circumstance, which in the sole opinion of CSEC, is prejudicial to the interests of CSEC;
- Order passed by any regulatory, courts, statutory bodies etc.

22. The MTF facility may be withdrawn by CSEC, in the event of client committing any breach of any terms or conditions herein or at any time after due intimation to the client allowing such time to liquidate the MTF position as agreed herein, without assigning any reason.
23. In the event of termination of this arrangement, the client shall forthwith settle the dues of CSEC. CSEC shall be entitled to adjust the Margin Amount against the dues of the client, and the client hereby authorizes CSEC to make such adjustment. After such adjustment, if any further amount is due from the client to CSEC, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to CSEC, CSEC shall release the balance amount to the client.
24. CSEC may release/ unpledged / transfer the securities utilized for MTF within 5 working days from the date of clearing the dues to CSEC on receipt of request from the client.
25. Lodge protest or disagreement with any transaction done under MTF within 24 hours from the date of receipt of such document / statements / contract notes/ any other communications.
26. Close / terminate the MTF at any time after clearing the dues of CSEC.
27. Any dispute arising between the client and CSEC in connection with the MTF, shall be referred to the investor grievance redressal mechanism, arbitration mechanism of the respective stock exchange"
28. In case the securities to be deposited and / or purchased for availing MTF belong to the promoter / promoter group, the client shall intimate CSEC before such deposit and or purchase, else the same shall be treated as non-promoter holding."
29. The FAQs, terms and conditions and amendments made by CSEC from time to time is available on company's website **www.cholasecurities.com.**

B) CSec undertakes, authorizes, confirms and agrees to/that:

1. Client shall be free to take the delivery of the securities at any time by repaying all dues.
2. CSEC at its discretion shall allow the Client to change the securities collateral offered for MTF at any time so long as the securities so offered are approved for margin trading facility
3. If there is no transaction for 90 days in a Margin Trading Account and no outstanding dues are pending from client then the account shall be settled immediately.
4. CSEC shall monitor and review on a continuous basis the client's position with regard to MTF.
5. CSEC may grant further exposure to the client subject to the applicable haircut, in case of increase in value of MTF collateral securities.
6. CSEC when makes a 'margin call' to the client, shall clearly indicate the additional/ deficient margin to be made good.
7. Whenever securities are liquidated by CSEC, the contract note issued for such margin call related transactions shall carry an asterisk or identifier that the transaction has arisen out of margin call.
8. The daily margin statements sent by CSEC to the client shall identify the margin/collateral for Margin Trading separately.
9. The stocks deposited as collateral with CSEC for availing MTF (Collaterals) and the stocks purchased under the MTF (Funded stocks) shall be identifiable separately and there shall not be any commingling for the purpose of computing funding amount.
10. CSEC shall close/terminate the account of the client forthwith upon receipt of request from the client subject to the condition that the client has paid the dues under MTF.
11. The margin trading arrangement between CSEC and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the MTF provided to CSEC or CSEC surrenders the facility or CSEC ceases to be a member of the stock exchange.
12. The Client may opt to terminate the MTF in the event of CSEC committing any breach of any terms or conditions herein or for any other reason.
13. If the client opts to terminate the MTF, CSEC shall return to the client all the collaterals provided and funded securities retained forthwith, but not later than 5 working days from the date of termination, on payment of all the dues by the client.
14. CSEC shall not use the funds of one client to provide MTF to another client, even if the same is authorized by the first client.
15. Collateral and funded stocks shall be marked to market on a daily basis.

Signature(s) of Account Holder(s)

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures	(15) ☛	☛	☛

DP Documents

DP TARIFF		
TARIFF FOR DEPOSITORY SERVICES	**BSDA (Only for Individuals)**	**Normal Scheme -AMC**
DESCRIPTION	**Amount**	**AMOUNT**
Annual Maintenance Charges (AMC)		
Individuals	Nil AMC* Holding Valuation is less than Rs 4Lacs in Demat a/c. Rs 100/-PA* Holding Valuation Rs 400001 to 10Lacs in Demat a/c	Rs. 600/- per annum
Corporate	Not Applicable	Rs. 1000/- per annum
Dematerialization	Rs. 150/- per certificate + Rs.50/- as Courier Charges	Rs. 150/- per certificate + Rs.50/- as Courier Charges
Re-Materialization	Rs.20/-for every 100 securities or part thereof	Rs.20/-for every 100 securities or part thereof
DIS Booklet	Rs. 100 per Booklet + Rs.50 Courier Charges	Rs. 100 per Booklet + Rs.50 Courier Charges
Transaction - Market I Off Market Sale (All type of instruments)		
Sale	Rs.30/- per ISIN or 0.04% whichever is higher (Inclusive of Depository charges for market transactions – CDSL Rs. 3.50/NSDL Rs. 4.00)	Rs.30/- per ISIN or 0.04% whichever is higher (Inclusive of Depository charges for market transactions – CDSL Rs. 3.50/NSDL Rs. 4.00)
Buy	NIL	NIL
Inter-Depository Transaction market / off market (All type of Instruments)		
Sale	Rs.30/- per ISIN or 0.04% whichever is higher (Inclusive of Depository charges for market transactions – CDSL Rs. 3.50/NSDL Rs. 4.00)	Rs.30/- per ISIN or 0.04% whichever is higher (Inclusive of Depository charges for market transactions – CDSL Rs. 3.50/NSDL Rs. 4.00)
Buy	NIL	NIL
Modifications (other than change of mobile number & email address)	Rs.25/-	Rs.25/-
Failed Instructions	Rs.30/- Per ISIN	Rs.30/- Per ISIN
Pledge		
Creation	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
Creation Confirmation	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
Closure	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
Closure Confirmation	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
Invocation	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
Margin Pledge Creation	Rs.30/-	Rs.30/-
Margin Pledge Closure	Rs.30/-	Rs.30/-
Non Disposal Undertakings / Agreement (NDU)	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher

Terms & Conditions

- CSEC reserves the right to revise the charges from time to time by giving 30 days' notice and this Terms & Conditions shall also part and parcel of depository participant agreement/ account opening form.
- Normal Scheme – AMC tariff will be charged for clients who are not eligible under BSDA category.
- Electronic Clearing Service (ECS) Mandate is compulsory for the Normal scheme.
- DIS book issue charges: Initial booklet free and charges applicable from subsequent request onwards.
- I/We have read and understood the "Schedule of Charges• prescribed above and agreed to abide by the same

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Signatures	(16) ☛	☛	☛

Annexure 2.5

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date | D | D | M | M | Y | Y | Y | Y |

DP ID		Client ID	
First Holder Name			
Second Holder Name			
Third Holder Name			

To,
Depository Participant Name:
Address:

Dear Sir / Madam

I / We hereby state that: [Select one of the options given below]

☐ **OPTION 1:**

I /We require you to issue Delivery Instruction Slip (DIS) booklet to me/ us immediately on opening of my/ our CDSL account though I we have issued a Demat Debit and Pledge Instruction (DDPI) / registered for eDIS I executed PMS agreement in favour of / with ____ (name of the attorney I Clearing Member/ PMS manager) for executing delivery instructions for setting stock exchange trades [Settlement related transactions) effected through such Power of Attorney holder - clearing Member/ by PMS manager/ for executing delivery instructions through eDIS.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	(17) ☛	☛	☛

☐ **OPTION 2:**

I /We do not require the delivery Instruction Slip (DIS) booklet for the time being, since I /We have issued POA/registered for eDIS / executed PMS agreement-in favour of /with ____ (name of the attorney I Clearing Member/ PMS manager) for executing delivery Instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder -Clearing Member/ by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/ us Immediately on my/ our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	(18) ☛	☛	☛

Nomination Form

Annexure -A

(SEBI/HO/OIAE/OIAE_IAD-3/P/ON/2025/01650, dated January 10, 2025

M/s. Cholamandalam Securities Ltd. Thamarai Tech Park, II floor North Zone, Guindy, Chennai – 600032.	FORM FOR NOMINATION (To be filled in by individual applying Singly or jointly)
UCC ID:	
I/ We wish to make a nomination (As per details given below)	
Nomination Details	
I/ We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my / our death.	

	Mandatory Details				Additional Details****			
1	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
2	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
3	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
4	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
5	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No

6	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
7	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
8	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
9	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No
10	Nominee Name	DOB	Relationship	Sharing %	Nominee Address	Mobile,	Email ID	ID No
	Guardian Name	DOB	Relationship	Guardian Address		Mobile,	Email ID	Proof ID No

Joint Account*

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion the surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (See table in 'Transmission aspects')

*Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

* to be furnished only in following conditions / circumstances: 1. Date of Birth (DOB) please provide, only if the nominee is minor. 2. Guardian: It is optional for you to provide, if the nominee is minor.

1. I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AM / DP as follows; (please tick, as appropriate)

   Name of nominee(s) ☐ ☐ Nomination: Yes / No

2. I hereby authorize ______________________________ (nominee number ______________________) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to__________% of assets in the account or Rs. ______________________. (Optional) (strike off portions that are not relevant) This nomination shall supersede any prior nomination made by me / us, if any.

3. This nomination shall supersede any prior nomination made by me / us, if any / Signature(s) – As per the mode of holding in demat account(s)

Name(s) Of holder(s)	Signature(s) of holders /thumb impression	Witness Signature	Name of Witness & Address (Wherever Applicable) *
Sole / First Holder* (Mr./Ms.)	(19) ☛		
Second Holder (Mr./Ms.)			
Third Holder (Mr./Ms.)			

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account.
- In case all your nominees do not claim the assets from the DP, then the residual unclaimed asset shall continue to be with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account, in case of your physical incapacitation, at any point of time and not just during opening of account. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the demat account(s) i.e.
  - o Either or Survivor Accounts - any one of the holders can sign
  - o First holder Accounts - only First holder can sign
  - o Jointly Accounts - all holders have to sign

Transmission aspects:

• DPs shall transmit the account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.

• In case of a joint account, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.

• Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the DP.

In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% Share as specified by investor at the time of nomination		% Assets to be apportioned to surviving nominees upon demise of investor and Nominee A			
Nominee	Sharing %	Nominee	% Initial share	% Of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75
C	10%	C	10%	15%	25
Total	100%	-	40%	60%	100%

Declaration form for opting out Nomination

To,

M/s. Cholamandalam Securities Ltd.

Date: D D M M Y Y Y Y

UCC ID											

DP ID									Client ID							

Acknowledgement Number	
Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

I/We hereby confirm that I/ We do not wish to appoint any nominee(s) in my / ourtrading /demat account and understand the issues involed in non appoinment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

	First / Sole Holder	Second Holder	Third Holder
Signature of Client*	(20) ☛		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead signature

DP Documents

Date: **DP Client ID______________________________**

Demat Debit and Pledge Instruction

Note: "Execution of DDPI is not mandatory"

I/WE. ____residing at____________________________________

____hold

a Beneficiary account no. ____ (BO-ID) with NSDL / CDSL through

M/s. CHOLAMANDALAM SECURITIES LIMITED (Depository Participant) Bearing DP-ID 48800 / IN300572.

I/WE THE ABOVE NAMED DO HEREBY explicitly agree to authorize M/S. Cholamandalam Securities Ltd (Clearing Member) to access my / Our BO account for the limited purpose of meeting pay-in obligations for settlement of trades executed and for the purpose of meeting margin requirements in connection with the trades executed on the Stock Exchanges.

S.No.	Purpose	Signature of Client*
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker M/s. Cholamandalam Securities Limited (Clearing Member) bearing CM-BP-ID IN553880(NSE Pool a/c), IN602374 (BSE Pool a/c) with (NSDL Depository), NSE pool a/c 1204880000000037, BSE pool a/c 1204880000000056 and NSESLB pool a/c 1204880000268670 and EPI a/c 1100001100021233 with (CDSL Depository)	(21) ☛ ☛ ☛
2	Pledge / Un pledge of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange Client securities margin pledge account: 10110194 (NSDL), 1204880000268351 (CDSL) Client securities margin funding account: 10110217 (NSDL) , 1204880000268379 (CDSL). Re-pledge the same with Clearing Corporation (CC) and in favour of Client securities margin pledge account: In001002 10009132(NSDL) and 1100001100020926 (CDSL).	(22) ☛ ☛ ☛
3	Mutual Fund / Bond transactions being executed on Stock Exchange order entry platforms M/s. Cholamandalam Securities Limited bearing CM-BP-ID - IN565576{NSDL - NSE) IN620031 (NSDL - BSE) and CC-CM-ID-M50466 (NSE), 237 (BSE) with (CDSL). And for Bond CM-BP-ID - IN568177	(23) ☛ ☛ ☛
4	Tendering shares in open offers like BUYBACK, DELISTING, TAKEOVER etc. through Stock Exchange platforms with CMBP ID- IN553880(NSE) IN602374(BSE)	(24) ☛ ☛ ☛

If joint DP account all the holders need to sign

Annexure – J

ACCOUNT OPENING FORM (For INDIVIDUALS)

Cholamandalam Securities Limited

NSDL Form

Application No:

Client ID | I | N | 3 | 0 | 0 | 5 | 7 | 2 | | | | | | | |

I/ We request you to open a depository account in my/our name as per following details: - (To be filled by the applicant in **BLOCK LETTERS** In English)	**DATE**	D	D	M	M	Y	Y	Y	Y

A Details of Account Holder (s)

Account holder(s)	Sole / First Holder's Name		Second Holder		Third Holder	
Name						
PAN						
Occupation *(Please tick any one and give brief details)*	☐ Private Sector	☐ Agriculturist	☐ Private Sector	☐ Agriculturist	☐ Private Sector	☐ Agriculturist
	☐ Public Sector	☐ Retired	☐ Public Sector	☐ Retired	☐ Public Sector	☐ Retired
	☐ Government Service	☐ House Wife	☐ Government Service	☐ House Wife	☐ Government Service	☐ House Wife
	☐ Business	☐ Student	☐ Business	☐ Student	☐ Business	☐ Student
	☐ Professional	☐ Other (Please Specify____________	☐ Professional	☐ Other (Please Specify____________	☐ Professional	☐ Other (Please Specify____________
Brief details						

B For HUF,Association of person (AOP), Partnership Firm, Unregistered Trust,etc.,although the account is opened in the name of the natural persons, the name & PAN of the Huf, Association of person (AOP), partnership Firm Unregistered Trust,etc., should be mentioned below.

a) Name		b) PAN	

C Types of Accounts

☐ Ordinary Resident	☐ NRI – Repatriable	☐ NRI – Non Repatriable
☐ Qualified Foreign Investor	☐ Foreign National	☐ Promoter
☐ Margin	☐ Others (Please Specify) …………………….	

D Gross Annual Income Details

Income range per annum (Pls tick any one)

☐ Below Rs. 1 Lac	☐ Rs. 1 – 5 Lac	☐ Rs. 5 – 10 Lac
☐ Rs. 10 – 25 Lac	☐ More than Rs. 25 Lac	

E In case of NRIs / Foreign Nationals

RBI Approval reference Number		RBI Approval Date	
F Please tick, If applicable	☐ Politically Exposed Person (PEP)	☐ Related to Politically Exposed Person (PEP)	

G Standing Instructions

1	We authorise you to receive credits automatically into our account.	☐ Yes ☐ No
2	Account to be operated through Demat Debit and Pledge instruction (DDPI)	☐ Yes ☐ No
3	SMS Alert Facility: Mandatory if you are giving Demat Debit and Pledge Instruction (DDPI) Ensure that the mobile number is provided in the KYC Application Form	

Sr. No.	Holder	Yes	No
1	Sole / First Holder	☐	☐
2	Second Holder	☐	☐
3	Third Holder	☐	☐

4	Mode of receiving statement Of Account [Tick any one]	☐ Physical Form ☐ Electronic Form *[Read Note 4 and ensure that email ID is Provided in KYC Application Form]*
5	For Joint Account Communication To be sent to (See Note 5)	☐ First holder ☐ All joint account holders

H Guardian Details *(Where sole holder is a minor):*
[For account of a minor, two KYC Application forms must be filled i.e., one for the guardian and another for the minor (to be signed by Guardian)]

Guardian Name	
PAN	
Relationship of Guardian with minor	

I	**DIS Instruction Booklet facility**
	☐ At the time of A/C opening ☐ At the time of A/C opening
J	I would lie to opt for BSDA services (if yes, the declaration is to be submitted ☐ Yes / ☐ No
K	Mode of Operations for Joint Accounts ☐ Jointly ☐ Anyone of the holder or survivor (s)
	Mode of Operation for joint Account is chosen as anyone of the holder or survivor(s) only specified Operations such as transfer of securities including. Inter-Depository Transfer, Pledge/hypothecation /margin pledge/ margin re -pledge (creation closure and invocation and confirmation thereof as applicable) of securities and freeze / unfreeze of accounts and /or Securities and / or specific number of securities will be permitted.
L	I would like to receive the Annual Report and other communication ☐ Physical ☐ Electronic Both ☐ Physical and Electronic (Tick the applicable box. If not marked the default option would be in physical)

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that we may be held liable for it. In case non – resident account. I /We also declare that I/we have complied and will continue to comply with FEMA regulation. I/we acknowledge the receipt of copy of the documents. ‘’ right and Obligations of the Beneficial Owner and Depository Participant.

	Name(s) of Holder (s)	Signature (s)
First Holder		(25) For NSDL DP ☛
Second Holder		☛
Third Holder		☛

Acknowledgement Receipt

Application No.: **Date:**

We hereby acknowledge the receipt of the Account Opening Application Form from: -

Name of the Sole / First Holder

Name of the Second Holder

Name of the Third Holder

Depository Participant Seal & Signature

Option for opening Basic Services Demat Account (BSDA)

To,

Cholamandalam Securities Ltd

Chola Crest, C54-55 & Super B-4,

Thiru-Vi-Ka Industrial Estate, Guindy, Chennai-600032.

Name of the Sole / First Holder

Name of the Second Holder

Name of the Third Holder

I/ We have read and understood the securities and Exchange Board of India's guidelines for facility for a BSDA. I / We hereby declare that I/We am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirement specified by securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I /We understand that in case I/e at any point of time signature(s) of Account Holder(s)

	First / Sole Holder	Second Holder	Third Holder
Signature of Client* *(For NSDL DP Account)*	(26) ☛		

DP Documents

Annexure -2.1

Additional KYC Form for Opening a Demat Account

Cholamandalam Securities Limited

CDSL Form For Individuals

Internal Ref No:

Client ID | 1 | 2 | 0 | 4 | 8 | 8 | 0 | 0 | | | | | | | | |

Application No:

I/ We request you to open a depository account in my/our name as per following details: -
(To be filled by the applicant in **BLOCK LETTERS** In English)

Holder Details

Sole / First Holder's Name		PAN	
		UID	
		UCC	
		Exchange Name ID	
Second Holder's Name		PAN	
		UID	
		UCC	
Third Holder's Name		PAN	
		UID	
		UCC	

Name

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	**Sub – Status**	
☐ Individual	☐ Individual Resident ☐ Individual Director's Relative ☐ Individual Promoter ☐ Individual Margin Trading A/C (MANTRA)	☐ Individual Director ☐ Individual HUF / AOP ☐ Minor ☐ Others (Specify)______________________
☐ NRI	☐ NRI Repatriable ☐ NRI Repatriable Promoter ☐ NRI-Depository Receipts	☐ NRI Non- Repatriable ☐ NRI Non- Repatriable Promotor ☐ Others (Specify)______________________
☐ Foreign National	☐ Foreign National ☐ Foreign National -Depository Receipts	☐ Others (Specify)______________

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes'	[Automatic Credit] ☐ Yes ☐ No
I / We would like to instruct the DP to accept all the pledge instruction in my/our account without any further instruction From my/our end (if not marked, the default option would be 'No')	☐ Yes ☐ No
Account Statement requirement ☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly	
I/We request you to send Electronic Transaction-cum-Holding Statement as the email ID ____	☐ Yes ☐ No
I/We would like to share the email ID with the RTA	☐ Yes ☐ No
I/ We would like to receive the annual report ☐ Physical / ☐ Electronic / ☐ Both Physical and Electronic Tick the applicable box. If not marked the default option would be in physical)	
DO you wish to receive divided /interest directly into your bank account given below through ECS? (If not marked the default option would be Yes) [ECS is mandatory for location notified by SEBI from time to time] DIS instruction booklet facility ☐ At the time of A/C opening ☐ Subsequently on the request at a later date	☐ Yes ☐ No
I would like to opt for BSDA service (if yes, the declaration is to be submitted)	☐ Yes ☐ No

SMS Alert Facility Refer to term & Conditions **given as Annexure -2.4**	MOBILE NO +91 | | | | | | | | | | | Mandatory, If you are giving Demat Debit and pledge Instruction (DDPI) (If DDPI is granted & you do not wish to avail of this facility, cancel this Option).
Transaction Using Secured texting facility (TRUST) Refer to term and conditions Annexure-2.6	I wish to avail the TRUST facility using the mobile number registered for SMS Alert Facility I have read and understood the Terms and conditions prescribed by CDSL for the same. ☐ Yes ☐ No I / We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST.

Stock Exchange Name /ID	Clearing Member Name	Clearing Member ID (Optional)

CDSL Form – DP Documents

Mode of Operations for Joint Accounts	☐ Jointly	☐ Anyone of the holder or survivor(s)
Joint Holder Communication	☐ First Holder	All Joint holder's
EASI	To register for easi, Visit our website www.cdsl.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

Declaration:

I/We have received and read the rights and Obligations documents and term & conditions and agree to abide by and be bound by the same and by the Bye laws as are in force from time to time. I/We declare that the particulars given by me/us Above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

	Name (s) of holder (s)	Signature (s)
First Holder		(27) ☛
Second Holder		☛
Third Holder		☛
Guardian (In case of Minor)		☛

Acknowledgement Receipt

To be Filled by DP

Application No.: **Date:**

We hereby acknowledge the receipt of the Account Opening Application Form from: -

Name of the Sole / First Holder

Name of the Second Holder

Name of the Third Holder

Depository Participant Seal & Signature

Option for opening Basic Services Demat Account (BSDA)

To,

Cholamandalam Securities Ltd

Chola Crest, C54-55 & Super B-4,

Thiru-Vi-Ka Industrial Estate, Guindy, Chennai-600032.

Name of the Sole / First Holder

Name of the Second Holder

Name of the Third Holder

I/ We have read and understood the securities and Exchange Board of India's guidelines for facility for a BSDA. I / We hereby declare that I/We am/are eligible to open a depository account as a BSDA holder and undertake to comply with the requirement specified by securities and Exchange Board of India (SEBI) or any such authority for such facility from time to time. I /We understand that in case I/e at any point of time signature(s) of Account Holder(s)

	First / Sole Holder	Second Holder	Third Holder
Signature of Client* *(For CDSL DP Account)*	(28) ☛		

Chola | Securities
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FATCA & CRS Declaration – Individuals

Date: _____/_____/_______

To,
M/s. Cholamandalam Securities Ltd.
Thamarai Tech Park, II floor North
Zone, Guindy, Chennai – 600032.

Trading Code:										

DP ID:									**Client ID:**								

Client Name:	

PAN:										

Are you a US person:	☐ **Yes** ☐ **No**
Country of Birth:	☐ **India** ☐ **US** ☐**Others**__________________________
Place of Birth:	☐ **India** ☐ **US** ☐**Others**__________________________

Are you the TAX Resident of any country other than India		☐ **Yes** ☐ **No**
If yes please fill the following:		
Country	**Tax Identification Number**	**Identification Type**

Foreign Address:			
Foreign City:		**Foreign State:**	
Foreign Country:		**Foreign Pin:**	

Declaration

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this form is true, correct and complete. I hereby agree and confirm to inform M/s. Cholamandalam Securities Limited for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
First Holder Signature *	(29) ☛

Chola | Securities
Enter a better life

FATCA & CRS Declaration – Individuals

Date: _____/_____/_______

To,
M/s. Cholamandalam Securities Ltd.
Thamarai Tech Park, II floor North
Zone, Guindy, Chennai – 600032.

Trading Code:										

DP ID:									**Client ID:**								

Client Name:	

PAN:										

Are you a US person:	☐ Yes ☐ No
Country of Birth:	☐ India ☐ US ☐Others___________________________
Place of Birth:	☐ India ☐ US ☐Others___________________________

Are you the TAX Resident of any country other than India		☐ Yes ☐ No
If yes please fill the following:		
Country	**Tax Identification Number**	**Identification Type**

Foreign Address:			
Foreign City:		**Foreign State:**	
Foreign Country:		**Foreign Pin:**	

Declaration
I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this form is true, correct and complete. I hereby agree and confirm to inform M/s. Cholamandalam Securities Limited for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
Second Signature *	(30) ☛

Central KYC Registry | Instructions / Check list / Guidelines for filling Individual KYC Application Form

General Instructions:

1. Self-Certification of documents is mandatory.
2. KYC number of applicant is mandatory for update/change of KYC details.
3. For particular section update, please tick () in the box available before the section number and strike off the sections not required to be updated.
4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under.
5. If any proof of identity or address is in a foreign language, then translation into English is required.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
8. Sole proprietor must make the application in his individual name & capacity.
9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
10. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
11. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.

A. Clarification / Guidelines on filling 'Identity Details' section

1. Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)

C. Clarification / Guidelines on filling 'Proof of Identity [PoI]' section, if PAN Card copy is not enclosed/For PAN exempt Investors

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. Mention identification / reference number if 'Z – Others (any document notified by the central government)' is ticked.
3. Others – Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their members; and Credit cards/Debit cards issued by Banks.
4. Letter issued by a gazetted officer, with a duly attested photograph of the person.

D. Clarification / Guidelines on filling 'Proof of Address [PoA] section

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. Others includes – Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

E. Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

1. To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
2. In case of multiple correspondence / local addresses, please fill 'Annexure A1'
3. Others includes – Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

F. Clarification / Guidelines on filling 'Contact details' section

1. Please mention two- digit country code and 10digit mobile number (e.g., for Indian mobile number mention 91-9999999999).
2. Do not add '0' in the beginning of Mobile number.

G. Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person if available.

H. Clarification / Guidelines on filling 'Related Person details – Proof of Identity [PoI] of Related Person' section

1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

List of two -digit state / U.T. codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code	State/U.T	Code	State/U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chhattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarkhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two – digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	M X	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcaim	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rica	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	OA	Virgin Islands, British	VG
Cote d'Ivoire | Code d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion |Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao | Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy | Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascensino and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

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Multiple Products!

At Chola Securities, we understand that each client has unique financial aspirations & requirements. Our team of seasoned financial professionals is dedicated to providing tailored solutions that align with your specific investment goals & risk appetite.
We are present in major cities across India, with a network of branches to serve our clients.
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Investments in Securities market are subject to market risks, read all the related documents carefully before investing.

E-Mail ID: ....

Mobile No.: ....

PMLA Cat: ....